SEC Form 5

Ш

П

FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0362 Estimated average burden hours per response: 1.0

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					8)	Amount	(A) or (D)	Price	at end of Issuer's Fiscal Year (Instr. 3 and 4)	(I) (Instr. 4)	Ownership (Instr. 4)		
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disposed Of (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
(City)	(State)	(Zip)	1										
(Street) WALTHAM MA 02453									X Form filed by One Reporting Person Form filed by More than One Reporting Person				
	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable Line)							
(Last) (First) (Middle) 95 SAWYER RD, SUITE 110			dle)	12,51,2022					below) CEO, Presi	dent and Dire	ow) ctor		
				3. Statement for 12/31/2022	ssuer's Fiscal	Year Ended (Month	n/Day/Yea	r)	X Officer (give title	Oth	ner (specify		
1. Name and Address Oliviero James	2. Issuer Name a <u>Checkpoint</u>		Frading Symbol I <u>tics, Inc.</u> [CK	(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner								
Form 4 Transaction	s Reported.			or Section 30	(n) of the inve	stment Company Ac	t of 1940						

Common Stock 09/26/2022 G⁽¹⁾ 60,000⁽²⁾ D \$0 196,199⁽³⁾ D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Derivativ Securitie Acquired Dispose	Derivative E		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		derivative Securities Beneficially Owned Following	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)		

Explanation of Responses:

1. On September 26, 2022, shares of common stock were transferred into an irrevocable trust for the benefit of Mr. Oliviero's minor children. Mr. Oliviero is not a trustee of the trust and has no investment control over the securities held by the trust.

2. Checkpoint Therapeutics, Inc. effected a reverse stock split on December 6, 2022. The reported number gives effect to this reverse stock split.

3. Includes shares of restricted common stock, which vest over various time periods.

/s/ James F. Oliviero, III ** Signature of Reporting Person

<u>01/12/2023</u> n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.